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14 15	Attorneys for Defendant/Counterclaimant TESLA, INC.				
16	IN THE UNITED ST	ATES DISTRICT COURT			
17	FOR THE NORTHERN DISTRICT OF CALIFORNIA				
18	NIKOLA CORPORATION, a Delaware) Civil Action No. 3:18-cv-7460-JD			
19	corporation,) Hon. James Donato			
20	Plaintiff,/Counter Defendant) TESLA, INC.'S ANSWER TO THIRD			
21	v.) AMENDED COMPLAINT AND) COUNTERCLIAMS 			
22	TESLA, INC., a Delaware corporation,) DEMAND FOR JURY TRIAL			
23	Defendant/Counterclaimant.))			
24		,			
25					
26					
27					
28					

1	Defendant Tesla, Inc. ("Tesla") hereby answers the Third Amended Complaint of Plaintiff			
2	Nikola Corporation ("Nikola").			
3	I. <u>RESPONSE TO NIKOLA'S INTRODUCTION¹</u>			
4	1. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
5	in paragraph 1, and therefore denies those allegations.			
6	2. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
7	in paragraph 2, and therefore denies those allegations.			
8	3. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
9	in paragraph 3, and therefore denies those allegations.			
10	4. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
11	in paragraph 4, and therefore denies those allegations.			
12	5. Tesla admits that as of May 9, 2016, Tesla had not publicly announced that it was			
13	considering building a class 8 semi-truck. Tesla denies any remaining allegations in paragraph 5.			
14	6. Denied.			
15	7. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
16	in paragraph 7, and therefore denies those allegations.			
17	8. Denied.			
18	9. Tesla admits that it filed a 10-Q that included reporting for the three-month period	L		
19	ending June 30, 2016. The document speaks for itself. Tesla denies any remaining allegations in	1		
20	paragraph 9.			
21	10. Tesla admits that on July 20, 2016, Elon Musk, Tesla's CEO, posted on Tesla's			
22	blog that "heavy-duty trucks" were "in the early stages of development at Tesla and should be			
23	ready for unveiling next year." Tesla lacks sufficient knowledge or information to admit or deny			
24	the remaining allegations in paragraph 10, and therefore denies those allegations.			
25				
26				
27 28	¹ For the Court's convenience, Tesla has incorporated the section titles that appear in the Complaint. Tesla does not necessarily agree with the characterizations of such section titles and does not waive any right to object to those characterizations.			

- 1 11. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 2 in paragraph 11, and therefore denies those allegations.
- 3 12. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 4 in paragraph 12, and therefore denies those allegations.
- 5 13. Tesla admits that as of April 28, 2017, it did not have any issued design patents
 6 based on its Tesla Semi.² Tesla admits that as of April 28, 2017, it had not publicly announced
 7 that it was seeking any design patents based on its Tesla Semi. Tesla lacks sufficient knowledge
 8 or information to admit or deny the remaining allegations in paragraph 13, and therefore denies
 9 those allegations.
- 10 14. Tesla admits that on April 28, 2017, during a TED conference in Vancouver, Elon
 11 Musk shared an image showing a darkened silhouette of the Tesla Semi from the front with the
 12 headlights on. Tesla denies any remaining allegations in paragraph 14.
- 13 15. Tesla admits that it received a letter from Nikola dated November 7, 2017 and that
 paragraph 15 purports to describe certain contents of that letter, which speaks for itself. Tesla
 admits that it did not respond to Nikola's letter dated November 7, 2017. Tesla denies any
 remaining allegations in paragraph 15.
- 17 16. Tesla admits that on November 16, 2017, Tesla held an event in Hawthorne, California and displayed two prototypes of the Tesla Semi. Tesla admits that the event was 18 19 attended by journalists, industry leaders, potential customers, and Tesla employees. Tesla admits 20 that the event was streamed online. Tesla admits that Tesla received reservations for its Tesla 21 Semi before November 16, 2017. Tesla admits that on November 17, 2017, Tesla's market 22 capitalization was around \$52.95 billion. Tesla lacks sufficient knowledge or information to 23 admit or deny the remaining allegations in paragraph 16, and therefore denies those allegations. 24
- 25
- 26

 ² In the Third Amended Complaint ("Complaint"), Nikola repeatedly uses the phrase "Tesla
 Semi." Tesla understands that the Complaint uses the phrase to refer only to the particular version of a prototype design of Tesla Semi that is specifically identified in the Complaint. Tesla's response to each paragraph that uses this phrase incorporates this understanding of the term.

1 17. Tesla lacks sufficient knowledge or information to admit or deny the allegations 2 in paragraph 17, and therefore denies those allegations. 3 18. Tesla admits that the PTO issued patents to Nikola. Tesla denies the remaining 4 allegations of paragraph 18. 5 19. Denied. 6 20. Tesla admits that it has made statements about the view drivers have from the 7 driver's seat of the Tesla Semi. Tesla denies all remaining allegations in paragraph 20. 8 21. Tesla admits that it has made statements regarding the aerodynamic design of the 9 Tesla Semi and that it has made statements that the Tesla Semi has a drag coefficient of around 10 0.36. Tesla lacks knowledge or information sufficient to form a belief as to the truth of the 11 allegations regarding the drag coefficient of the Nikola One, and therefore denies them. Tesla 12 denies all remaining allegations in paragraph 21. 13 22. Tesla admits that the Tesla Semi has a door and has made statements regarding a 14 user's ability to access the vehicle. Tesla lacks knowledge or information sufficient to form a 15 belief as to the truth of the allegations regarding the drag coefficient of the Nikola One, and 16 therefore denies them. Tesla denies all remaining allegations in paragraph 22. 17 23. Denied. 18 24. Tesla admits that the PTO issued a utility patent to Nikola. Tesla denies the 19 remaining allegations of paragraph 24. 20 25. Denied. 21 26. Denied. 22 27. Tesla lacks knowledge or information sufficient to form a belief as to the truth of 23 the allegations in this paragraph, and therefore denies them. 24 28. Denied. 25 /// 26 /// 27 28

1 II. <u>RESPONSE TO ALLEGATIONS REGARDING</u> 2 PARTIES, JURISDICTION, AND VENUE 3 29. Tesla lacks sufficient knowledge or information to admit or deny the allegations 4 in paragraph 29, and therefore denies those allegations 5 30. Admitted. 6 31. Tesla admits that the Third Amended Complaint alleges infringement of United 7 States Patent Nos. D811,944 (the "'D944 Patent"), D811,968 (the "'D968 Patent"), D816,004 8 (the "D004 Patent"), and 10,077,084 (the "'084 Patent") arising under 35 U.S.C. § 1 et seq. and 9 alleges infringement of Nikola's Nikola One trade dress arising under 15 U.S.C. § 1051 et seq., 10 but denies that Nikola is entitled to any relief. Tesla denies any remaining allegations in 11 paragraph 31. 32. 12 Admitted. 13 33. This paragraph contains legal conclusions to which no answer is required. To the 14 extent an answer is required, Tesla does not contest personal jurisdiction in the Northern District 15 of California for the purposes of this action only. Tesla specifically denies that it has offered for 16 sale any allegedly infringing product. Tesla denies the remaining allegations in paragraph 33. 17 34. This paragraph contains legal conclusions to which no answer is required. To the 18 extent an answer is required, Tesla does not contest venue in the Northern District of California 19 for the purposes of this action only. Tesla specifically denies that it has offered for sale any allegedly infringing product. Tesla denies the remaining allegations in paragraph 34. 20 21 III. FACTUAL BACKGROUND 22 **Response to Allegations That Trevor Milton and Steve Jennes Designed** Α. 23 the Nikola One 24 35. Tesla lacks sufficient knowledge or information to admit or deny the allegations 25 in paragraph 35, and therefore denies those allegations. 26 36. Tesla lacks sufficient knowledge or information to admit or deny the allegations 27 in paragraph 36, and therefore denies those allegations. 28 37. Denied.

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1	38. Tesla lacks sufficient knowledge or information to admit or deny the allegations
2	in paragraph 38, and therefore denies those allegations.
3	39. Tesla lacks sufficient knowledge or information to admit or deny the allegations
4	in paragraph 39, and therefore denies those allegations.
5	40. Tesla lacks sufficient knowledge or information to admit or deny the allegations
6	in paragraph 40, and therefore denies those allegations.
7	41. Tesla lacks sufficient knowledge or information to admit or deny the allegations
8	in paragraph 41, and therefore denies those allegations.
9	42. Tesla lacks sufficient knowledge or information to admit or deny the allegations
10	in paragraph 42, and therefore denies those allegations.
11	43. Tesla lacks sufficient knowledge or information to admit or deny the allegations
12	in paragraph 43, and therefore denies those allegations.
13	44. Denied.
14	45. Tesla lacks sufficient knowledge or information to admit or deny the allegations
15	in paragraph 45, and therefore denies those allegations.
16	46. Tesla lacks sufficient knowledge or information to admit or deny the allegations
17	in paragraph 46, and therefore denies those allegations.
18	B. <u>Response to Allegations that the Nikola One Was Unveiled To Wide Praise And</u>
19	Received Several Billions in Orders
20	47. Tesla admits that by May 10, 2016 articles were publicly available discussing
21	Nikola's semi-truck announcement. Tesla lacks sufficient knowledge or information to admit or
22	deny the remaining allegations in paragraph 47 and therefore denies those allegations. To the
23	extent the picture included in the Third Amended Complaint after paragraph 47 constitutes
24	allegations of fact, Tesla cannot verify the origin or veracity of that picture and therefore denies
25	that that picture supports any allegations in the Third Amended Complaint.
26	48. Tesla lacks sufficient knowledge or information to admit or deny the allegations
27	in paragraph 48, and therefore denies those allegations.
28	///

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1 49. Tesla admits that various websites reported on Nikola's semi-truck announcement 2 and that paragraph 45 purports to describe certain reports. Tesla lacks sufficient knowledge or 3 information to admit or deny the remaining allegations in paragraph 45 and therefore denies 4 those allegations. 5 50. Tesla lacks sufficient knowledge or information to admit or deny the allegations 6 in paragraph 50, and therefore denies those allegations. 7 51. Tesla admits that as of June 13, 2016, it had not publicly announced that it was 8 going to build the Tesla Semi. Tesla admits that as of June 13, 2016, it had not filed any design 9 patent applications based on the Tesla Semi. Tesla denies any remaining allegations in paragraph 10 47.

52. Tesla admits that Nikola held an event on December 1, 2016. Tesla lacks *sufficient knowledge or information to admit or deny the remaining allegations in paragraph 52 and therefore denies those allegations.*

14 53. Tesla lacks sufficient knowledge or information to admit or deny the allegations*15* in paragraph 53, and therefore denies those allegations.

- *16* 54. Denied.
- *17* 55. Denied.
- *18* 56. Tesla lacks sufficient knowledge or information to admit or deny the allegations*19* in paragraph 56, and therefore denies those allegations.

20 57. Tesla lacks sufficient knowledge or information to admit or deny the allegations
21 in paragraph 57, and therefore denies those allegations.

22

C. <u>Response to Allegations that Tesla Announced A Semi-Truck and Offered to Sell It</u>

23 58. Tesla admits that as of June 13, 2016, it had not publicly announced that it was
24 considering building the Tesla Semi. Tesla denies any remaining allegations in paragraph 58.

25 59. Tesla admits that it filed Form 10-Q that including reporting for periods ending
26 June 30, 2016. Tesla lacks sufficient knowledge or information to admit or deny any remaining
27 allegations in paragraph 59, and therefore denies those allegations.

28 ///

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1 60. Tesla admits that it released its 2016 Master Plan, Part Deux on July 20, 2016 and 2 stated therein that "heavy-duty trucks" "are in the early stages of development at Tesla and 3 should be ready for unveiling next year." Tesla lacks sufficient knowledge or information to 4 admit or deny any remaining allegations in paragraph 60, and therefore denies those allegations.

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61. Tesla lacks sufficient knowledge or information to admit or deny the allegations of paragraph 61, and therefore denies those allegations.

7 62. Tesla admits that on April 13, 2017, Elon Musk, Tesla's CEO, tweeted, "Tesla 8 Semi truck unveil set for September. Team has done an amazing job. Seriously next level."

9 63. Tesla admits that Elon Musk was interviewed on April 28, 2017 and during that 10 interview he shared an image showing a darkened silhouette of the Tesla Semi from the front 11 with the headlights on. Tesla denies any remaining allegations in paragraph 63.

12 64. Tesla admits that on May 3, 2017, Elon Musk said the following, when 13 asked about the Tesla Semi: "We'll manufacture that ourselves. And most of that semi is 14 actually made out of Model 3 parts, by the way. It's Model 3 – it's actually using a bunch 15 of Model 3 motors. Probably revealing too much about the future of it. But so we're able to 16 use a very high volume vehicle and then combine several motors to have something that I think 17 is actually going to have a very good gross margin, like it's -- that's just not something that 18 any other -- it's like you can't do that with a traditional truck. So effectively it allows us to 19 have a very compelling product that has a low unit cost." Tesla denies any remaining allegations 20 in paragraph 64.

21 65. Tesla lacks sufficient knowledge or information to admit or deny the allegations 22 in paragraph 65, and therefore denies those allegations. To the extent the picture included in the 23 Third Amended Complaint after paragraph 65 constitutes allegations of fact, Tesla cannot 24 verify the origin or veracity of that picture and therefore denies that that picture supports any 2.5 allegations in the Third Amended Complaint.

26 66. Tesla admits that it received a letter from Nikola dated November 7, 2017 and 27 that paragraph 66 purports to describe certain contents of that letter. Tesla admits that Nikola 28 purports to attach a copy of that letter to the Third Amended Complaint as Exhibit 1. Tesla

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lacks sufficient knowledge or information to admit or deny any remaining allegations in
 paragraph 66, and therefore denies those allegations.

*G*7. Tesla admits that it held an event on November 16, 2017 at which Tesla *unveiled its Tesla Semi. Tesla admits that journalists, industry partners, customers, employees, and government leaders attended this event. Tesla lacks sufficient knowledge or information to admit or deny any remaining allegations in paragraph 67, and therefore denies those allegations. 68. Tesla admits that it had announced that it expected to release the Tesla Semi in*

8 2019.

9 69. Tesla admits that it began accepting reservations for the Tesla Semi prior to
10 November 16, 2017. Tesla admits that various companies in different industries have made
11 reservations for the Tesla Semi. Tesla denies any remaining allegations in paragraph 69.

12 70. Tesla admits that on March 7, 2018, Elon Musk posted on Instagram that Tesla *13* was using its Tesla Semis to carry battery packs from Tesla's Gigafactory in Nevada to Tesla's *14* car factory in California. Tesla denies any remaining allegations in paragraph 70.

15 71. Tesla admits that on November 1, 2017, it announced that it would report a loss *16* for the quarter. Tesla admits that its stock price dropped from November 1, 2017 to November 2, *17* 2017. Tesla denies any remaining allegations in paragraph 71.

*Tesla admits that the week before November 16, 2017, its stock price was \$302.99*and that on November 17, 2017, its stock price was \$315.05. Tesla admits that this change in
stock price represents around a \$2 billion increase in Tesla's market capitalization at that time.
Tesla denies the remaining allegations in paragraph 72.

22

73. Denied.

23 74. Tesla lacks sufficient knowledge or information to admit or deny the allegations
24 in paragraph 74, and therefore denies those allegations.

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D. Response to Allegations that Nikola was Issued Six Design Patents

75. Tesla admits that Nikola applied for design patents, three of which Nikola has
asserted in this action. Tesla denies the remaining allegations of paragraph 75.
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Answer to 3rd Am. Complaint

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76. Tesla admits that the Third Amended Complaint purports to assert infringement of
 one utility patent. Tesla lacks sufficient knowledge or information to admit or deny the
 allegations in paragraph 76, and therefore denies those allegations.

77. Tesla admits that the 'D944 Patent is entitled "Fuselage," and issued on March 6,
2018. Tesla admits that what purports to be a copy of the 'D944 Patent is attached to the Third
Amended Complaint as Exhibit 2. Tesla admits that what purports to be an excerpt from the
'D944 Patent is reproduced below paragraph 77. Tesla denies any remaining allegations in
paragraph 77.

78. Tesla admits that the 'D968 Patent is entitled "Wrap Windshield," and issued on
March 6, 2018. Tesla admits that what purports to be a copy of the 'D968 Patent is attached to
the Third Amended Complaint as Exhibit 3. Tesla admits that what purports to be an excerpt
from the 'D968 Patent is reproduced below paragraph 78. Tesla denies any remaining allegations
in paragraph 78.

14 79. Tesla admits that the 'D004 Patent is entitled "Side Door," and issued on April 14, *15* 2018. Tesla admits that what purports to be a copy of the 'D004 Patent is attached to the Third *16* Amended Complaint as Exhibit 4. Tesla admits that what purports to be an excerpt from the *17* 'D004 Patent is reproduced below paragraph 79. Tesla denies any remaining allegations in *18* paragraph 79.

19 80. Tesla admits that the '084 Patent is entitled "Systems, Methods, and Devices for
20 an Automobile Door or Window," and issued on September 18, 2018. Tesla admits that what
21 purports to be a copy of the '084 Patent is attached to the Third Amended Complaint as
22 Exhibit 5. Tesla denies any remaining allegations in paragraph 80.

23

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81. Tesla lacks sufficient knowledge or information to admit or deny the allegations in paragraph 81, and therefore denies those allegations.

25 82. Tesla lacks sufficient knowledge or information to admit or deny the allegations
26 in paragraph 82, and therefore denies those allegations.

27 83. Tesla admits that it received a letter from Nikola dated November 7, 2017 and
28 that paragraph 83 purports to describe certain contents of that letter. Tesla admits that it did not

respond to Nikola's letter dated November 7, 2017. Tesla denies any remaining allegations in
 paragraph 83.

E.Response to Allegations that the Design of the Tesla Semi is Substantially Similar to
Nikola's Patented Design and Is Confusingly Similar to Nikola's Trade Dress

84. Denied.

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- 85. Denied.
- 86. Denied.
 - 1. <u>Response to Allegations Regarding The Fuselage Patent</u>
- 987. Tesla admits that what purports to be an excerpt from the 'D944 Patent is10reproduced below paragraph 87. Tesla denies any remaining allegations in paragraph 87.
- 11 88. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 12 in paragraph 88, and therefore denies those allegations. To the extent the picture included in the
 13 Third Amended Complaint after paragraph 88 constitutes allegations of fact, Tesla cannot
 14 verify the origin or veracity of this picture and therefore denies that the picture supports any
 15 allegations in the Third Amended Complaint.
- *16* 89. Tesla denies each and every allegation of paragraph 89. To the extent the pictures
- 17 included in the Third Amended Complaint after paragraph 89 constitute allegations of fact,
- *18* Tesla cannot verify the origin or veracity of these pictures and therefore denies that the pictures*19* support any allegations in the Third Amended Complaint.
- 20 90. Tesla denies that the overall design of the Nikola One is an ornamental design.
 21 Tesla lacks sufficient knowledge or information to admit or deny the remaining allegations in
 22 paragraph 90, and therefore denies those allegations.
- 23 91. Tesla admits that Nikola has described its semi-truck as aerodynamic. Tesla lacks
 24 sufficient knowledge or information to admit or deny the remaining allegations in paragraph 91,
 25 and therefore denies those allegations.
 - 26 92. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 27 in paragraph 92, and therefore denies those allegations.
 - 28 ///

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- 93. Tesla admits that on November 16, 2017, Elon Musk said that Tesla "designed the
 Tesla truck to be like a bullet" and mentioned the "bullet-shaped nose." Tesla denies any
 remaining allegations in paragraph 93.
- 4 94. Tesla admits that on November 16, 2017, Elon Musk noted that the Tesla Semi
 5 has a drag coefficient of 0.36 and that this was better that the 0.38 drag coefficient attributed to a
 6 Bugatti Chiron. Tesla denies any remaining allegations in paragraph 94.
- 7 95. Tesla admits that Jerome Guillen said at an event on November 25, 2017 in the
 8 Netherlands that the Tesla Semi looks like the TGV, the Eurostar, or a bullet train. Tesla denies
 9 any remaining allegations in paragraph 95.
- 10 96. Tesla admits that on November 16, 2017, Elon Musk noted the "spacious interior"
 11 of the Tesla Semi design and the screen included the words "expansive cockpit interior." To the
 12 extent Nikola alleges that the quoted language was stated during the November 25, 2017 event in
 13 the Netherlands, Tesla denies those allegations. Tesla denies any remaining allegations in
 14 paragraph 96.
- 15 97. Denied.
- *16* 98. Denied.

2.

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Response to Allegations Regarding the Wrap Windshield Patent

18 99. Tesla admits that what purports to be an excerpt from the 'D968 Patent is *19* reproduced below paragraph 99. Tesla denies any remaining allegations in paragraph 99.

100. Tesla lacks sufficient knowledge or information to admit or deny the allegations
in paragraph 100, and therefore denies those allegations. To the extent the picture included in
the Third Amended Complaint after paragraph 100 constitutes allegations of fact, Tesla cannot
verify the origin or veracity of this picture and therefore denies that the picture supports any
allegations in the Third Amended Complaint.

25 101. Tesla admits that the Tesla Semi has a windshield. Tesla denies any remaining
26 allegations in paragraph 101. To the extent the pictures included in the Third Amended
27 Complaint after paragraph 101 constitute allegations of fact, Tesla cannot verify the origin or

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veracity of these pictures and therefore denies that the pictures support any allegations in the
 Third Amended Complaint.

3 102. This paragraph is ambiguous and cannot be admitted or denied, and, on that basis
4 Tesla denies the allegations in this paragraph.

5 103. Tesla lacks sufficient knowledge or information to admit or deny the allegations
6 in paragraph 103, and therefore denies those allegations.

7 104. Tesla admits that on November 16, 2017, Elon Musk said the driver had
8 "complete visibility of the road and all the surroundings." Tesla denies that Elon Musk
9 specifically referenced a "wrap windshield" or that Elon Musk stated that the driver has complete
10 visibility "in part, because of the wrap windshield." Tesla denies any remaining allegations in
11 paragraph 104.

12 105. Denied.

106. Denied.

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3. <u>Response to Allegations Regarding the Mid-Entry Door Patent</u>

15 107. Tesla admits that what purports to be an excerpt from the 'D004 Patent is16 reproduced below paragraph 107. Tesla denies any remaining allegations in paragraph 107.

17 108. Tesla lacks sufficient knowledge or information to admit or deny the allegations
18 in paragraph 108, and therefore denies those allegations. To the extent the picture included in
19 the Third Amended Complaint after paragraph 108 constitutes allegations of fact, Tesla cannot
20 verify the origin or veracity of this picture and therefore denies that the picture supports any
21 allegations in the Third Amended Complaint.

109. Tesla admits that the Tesla Semi has a door. Tesla denies any remaining
allegations in paragraph 109. To the extent the pictures included in the Third Amended
Complaint after paragraph 109 constitute allegations of fact, Tesla cannot verify the origin or
veracity of these pictures and therefore denies that the pictures support any allegations in the
Third Amended Complaint.

27 110. This paragraph is ambiguous and cannot be admitted or denied, and, on that basis
28 Tesla denies the allegations in this paragraph.

1 Tesla lacks sufficient knowledge or information to admit or deny the allegations 111. 2 in paragraph 111, and therefore denies those allegations. 3 112. Tesla admits that on November 16, 2017 at the Tesla event, the text shown on a 4 screen included the words "easy entry and exit." Tesla denies all remaining allegations in 5 paragraph 112. 6 113. Denied. 7 114. Denied. 8 115. Tesla lacks sufficient knowledge or information to admit or deny the allegations 9 in paragraph 115, and therefore denies those allegations. 10 4. **Response to Allegations Regarding the '084 Patent** 11 116. Tesla admits that the "Detailed Description" section of the '084 Patent states that 12 the disclosure of the '084 Patent "relates generally to systems, methods, and devices for an 13 automobile door or window." Tesla denies any remaining allegations in paragraph 116. 14 117. Tesla admits that Example 1 of the '084 Patent recites, "at least one door" that is 15 "located with respect to the body of the vehicle, such that it provides ingress and egress into the 16 cabin from a backside of a seat." Tesla admits that Example 17 of the '084 Patent recites, "a 17 vehicle as in any of Examples 1-16, wherein the vehicle is a semi-truck." Tesla denies any 18 remaining allegations in paragraph 117. 19 118. Tesla admits that what purports to be an excerpt from the '084 Patent is 20reproduced below paragraph 118. Tesla denies any remaining allegations in paragraph 118. 21 119. Tesla lacks sufficient knowledge or information to admit or deny the allegations 22 in paragraph 119, and therefore denies those allegations. 23 120. Tesla admits that Claim 1 of the '084 Patent recites: 24 1. A semi-truck vehicle comprising: 25 an electric drive train; 26 a body; 27 a cabin located within the body of the semi-truck vehicle, wherein the cabin comprises an interior that is configured to accommodate 28 at least one person; Answer to 3rd Am. Complaint - 13 -3:18-cv-7460-JD

	Case 3:18-0	cv-07460-JD	Document 12	8 Filed 09/23/20	Page 15 of 3	5
1 2 3 4 5 6 7 8		a user; and a door compt wherein the cabin of the s wherein the of the door i and the widtl the door and being position disposed to b front wheel egress into th	rising a width ex door provides in semi-truck vehic door is located of s adjacent to a r n of the door is of a rearmost side of ned behind the be forward of a lif well such that the ne cabin from a l	on the body such the rearmost portion of lisposed between the of the door, at least a seat and at least a p ne defining the rear he door opens to p backside of the seat	l length of the do the interior of at a frontmost side a front wheel we portion of the do ortion of the sea most portion of rovide ingress and	bor, the side well e of loor at is the and
9 10			door is the fore ior of the cabin.	most door providin	g ingress or eg	ess
11	Tesla	denies the rem	aining allegatio	ns of this paragraph		
12	121.	Tesla lacks s	sufficient knowl	edge or information	to admit or der	y the allegations
13	in paragraph	121, and there	fore denies those	e allegations. To the	e extent the pict	ure included in
14	the Third A	mended Comp	laint after parag	raph 121 constitutes	allegations of t	fact, Tesla cannot
15	verify the orig	gin or veracity	of this picture a	nd therefore denies	that the picture	supports any
16	allegations in the Third Amended Complaint.					
17	122.	Tesla lacks s	ufficient knowle	edge or information	to admit or den	y the allegations
18	in paragraph	122, and there	fore denies those	e allegations.		
19	123.	Denied.				
20	124.	Denied.				
21	5.	Response to	Allegations Re	garding Nikola's T	Trade Dress	
22	125.	Denied.				
23	126.	Denied.				
24	127.	Denied.				
25	128.	Tesla admits	that Nikola's se	mi-truck is not pub	licly available for	or purchase as of
26	the filing date	e of this Answe	er. Tesla admits	that it has not releas	sed the Tesla Se	mi as of the date
27	of this Answe	er. Tesla is info	ormed and believ	ves that Nikola ceas	ed development	of the Nikola
28						
	Answer to 3 rd	¹ Am. Complai	nt -	14 -		3:18-cv-7460-JD

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One. Tesla lacks sufficient knowledge or information to admit or deny the remaining allegations
 in paragraph 128 and therefore denies those allegations.

129. Denied.

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4 130. Tesla lacks sufficient knowledge or information to admit or deny the allegations
5 in paragraph 130 and therefore denies those allegations.

6 131. Tesla lacks sufficient knowledge or information to admit or deny the allegations
7 in paragraph 131 and therefore denies those allegations.

- 132. Denied.
 - 133. Denied.

10 134. Tesla lacks sufficient knowledge or information to admit or deny the remaining11 allegations in paragraph 134 and therefore denies those allegations.

12 135. Tesla admits that on June 12, 2014, Elon Musk announced that "Tesla will not
13 initiate patent lawsuits against anyone who, in good faith, wants to use our technology." Tesla
14 admits that Tesla's Patent Pledge contains a definition of "acting in good faith" which excludes
15 marketing or selling any "knock-off product (e.g., a product created by imitating or copying the
16 design or appearance of a Tesla product or which suggests an association with or endorsement by
17 Tesla denies any remaining allegations in paragraph 135.

- 18 136. Denied.
- *19* 137. Denied.
 - 138. Denied.

21 139. Tesla lacks sufficient knowledge or information to admit or deny the remaining
22 allegations in paragraph 139 and therefore denies those allegations.

- *Response to Allegations that Nikola Has Been and Will Continue to be Harmed by Tesla's Infringement*
 - 140. Denied.
- 26 141. Denied.
- 142. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 in paragraph 142 and therefore denies those allegations.

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1	143. Tesla admits that Nikola announced it was going to build hydrogen-fueling			
2	stations. Tesla lacks sufficient knowledge or information to admit or deny the remaining			
3	allegations in paragraph 143 and therefore denies those allegations.			
4	144. Tesla admits that Nikola advertised its semi-truck to have a 700-1,000 mile range.			
5	Tesla lacks sufficient knowledge or information to admit or deny any remaining allegations in			
6	paragraph 144 and therefore denies those allegations.			
7	145. Tesla admits that some diesel semi-trucks take roughly 15-20 minutes to refuel			
8	and that Nikola advertised its semi-truck as having fast refueling. Tesla lacks sufficient			
9	knowledge or information to admit or deny any remaining allegations in paragraph 145 and			
10	therefore denies those allegations.			
11	146. Denied.			
12	147. Tesla lacks sufficient knowledge or information to admit or deny the allegations			
13	in paragraph 147 and therefore denies those allegations.			
14	148. Denied.			
15	149. Tesla admits that its website states that the mile range for the Tesla Semi will be			
16	300 or 500 miles. Tesla denies any remaining allegations in paragraph 149.			
17	150. Tesla admits that the aerodynamics of the Tesla Semi are factors in achieving the			
18	500-mile range estimate. Tesla admits that the aerodynamics of its other vehicles are factors in			
19	achieving their maximum range estimates. Tesla denies any remaining allegations in paragraph			
20	150.			
21	151. Tesla admits that the Tesla Semi uses battery packs to achieve the 500-mile range			
22	estimate. Tesla denies any remaining allegations in paragraph 151.			
23	152. Tesla admits that it has advertised that the Tesla Semi will take 30 minutes to			
24	charge for a 400 mile range. Tesla denies any remaining allegations in paragraph 152.			
25	153. Denied.			
26	154. Tesla admits that it began accepting reservations for the Tesla Semi prior to			
27	November 16, 2017. Tesla admits that it has received reservations for Tesla Semis that total more			
28	than \$200 million in value. Tesla denies any remaining allegations in paragraph 154.			
	Answer to 3 rd Am. Complaint- 16 -3:18-cv-7460-JD			

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1 155. Tesla admits that the week before November 16, 2017, its stock price was \$302.99 2 and that on November 17, 2017, its stock price was \$315.05. Tesla admits that this change in 3 stock price represents around a \$2 billion increase in Tesla's market capitalization at that time. 4 Tesla denies the remaining allegations of paragraph 155. 5 156. Denied. 6 Tesla lacks sufficient knowledge or information to admit or deny the allegations 157. 7 in paragraph 157 and therefore denies those allegations. 8 158. Tesla lacks sufficient knowledge or information to admit or deny the allegations 9 in paragraph 158 and therefore denies those allegations. To the extent the picture included in 10 the Third Amended Complaint after paragraph 158 constitutes allegations of fact, Tesla cannot 11 verify the origin or veracity of this picture and therefore denies that the picture supports any

12 allegations in the Third Amended Complaint.

- 13 159. Tesla lacks sufficient knowledge or information to admit or deny the allegations14 in paragraph 159 and therefore denies those allegations.
- 15 160. Tesla lacks sufficient knowledge or information to admit or deny the allegations16 in paragraph 160 and therefore denies those allegations.
- 17 161. Tesla lacks sufficient knowledge or information to admit or deny the allegations18 in paragraph 161 and therefore denies those allegations.

19 162. Tesla admits that on May 1, 2018, Nikola sued Tesla in the District of Arizona for
20 alleged infringement of the 'D944 Patent, the 'D968 Patent, and the 'D004 Patent.

- 21 163. Tesla lacks sufficient knowledge or information to admit or deny the allegations
 22 in paragraph 163 and therefore denies those allegations.
- 23 164. Tesla admits that on September 6, 2018, Nikola provided Tesla with a copy of the
 24 Issue Notification for the '084 Patent. Tesla denies any remaining allegations in paragraph 164.
- 25 165. Denied.
- 26 166. Denied.
- 27 167. Denied.
- 28 168. Denied.

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1	169.	Denied.
2	170.	Denied.
3	171.	Denied.
4		RESPONSE TO ALLEGATIONS REGARDING COUNT I
5	I	nfringement of the 'D944 Patent (Fuselage Patent) (35 U.S.C. § 271)
6	172.	Tesla incorporates and realleges paragraphs 1 through 161 of this Complaint.
7	173.	Denied.
8	174.	Denied.
9		RESPONSE TO ALLEGATIONS REGARDING COUNT II
10	Infrin	ngement of the 'D968 Patent (Wrap Windshield Patent) (35 U.S.C. § 271)
11	175.	Tesla incorporates and realleges paragraphs 1 through 164 of this Complaint.
12	176.	Denied.
13	177.	Denied.
14		RESPONSE TO ALLEGATIONS REGARDING COUNT III
15	<u>I</u> 1	nfringement of the 'D004 Patent (Side Door Patent) (35 U.S.C. § 271)
16	178.	Tesla incorporates and realleges paragraphs 1 through 167 of this Complaint.
17	179.	Denied.
18	180.	Denied.
19		RESPONSE TO ALLEGATIONS REGARDING COUNT IV
20		Infringement of the '084 Patent (35 U.S.C. § 271)
21	181.	Tesla incorporates and realleges paragraphs 1 through 170 of this Complaint
22	182.	Denied.
23	183.	Denied.
24		RESPONSE TO ALLEGATIONS REGARDING COUNT V
25		Infringement of Nikola's Trade Dress (35 U.S.C. § 1125)
26	184.	Tesla incorporates and realleges paragraphs 1 through 173 of this Complaint
27	185.	Denied.
28	186.	Denied.
	Answer to 3 rd	¹ Am. Complaint - 18 - 3:18-cv-7460-J

187. Denied. 1 2 IV. **RESPONSE TO DEMAND FOR JURY TRIAL** 3 188. This paragraph sets forth Plaintiff's request for a jury trial to which no response is 4 required. 5 V. **RESPONSE TO PRAYER FOR RELIEF** 6 189. These paragraphs set forth the statement of relief requested by Plaintiff, to which 7 no response is required. To the extent any response is required, Tesla denies that Nikola is 8 entitled to any relief, including the judgment and relief requested in its Prayer for Relief 9 10 AFFIRMATIVE DEFENSES 11 Without prejudice to the denials set forth in its responses to Paragraphs 1 through 189 of 12 the Third Amended Complaint, and without undertaking any of the burdens imposed by law on the 13 Plaintiff, Tesla avers and asserts the following separate defenses to the Third Amended Complaint. 14 Tesla expressly reserves the right to allege additional defenses as they become known through the 15 course of discovery. 16 **First Affirmative Defense** 17 (Non-Infringement) 18 Nikola's claims are barred because Tesla has not infringed any valid and enforceable claim 19 of the 'D944 Patent, the 'D968 Patent, the 'D004 Patent, or the '084 Patent. Tesla is not liable for 20 infringement of the 'D944 Patent, the 'D968 Patent, the 'D004 Patent, or the '084 Patent, and 21 Nikola's allegations to the contrary are without foundation and have been made without any good-22 faith basis. 23 Second Affirmative Defense 24 (Invalidity) 25 One or more claims of the 'D944 Patent, the 'D968 Patent, the 'D004 Patent, or the '084 26 Patent are invalid for failure to comply with one or more of the conditions set forth in Title 35 of 27 the United States Code, including, without limitation, the requirements of 35 U.S.C. §§ 101, 102, 28 103, 112 and any other judicially created requirements for patentability and enforceability of

1	patents and the defenses recognized in 35 U.S.C. § 282. The design patents are also invalid because	:
2	they purport to encompass functional features.	
3	Third Affirmative Defense	
4	(Failure to State a Claim)	
5	Nikola's Third Amended Complaint fails to state a claim upon which relief may be granted.	
6	Fourth Affirmative Defense	
7	(Failure to State an Exceptional Case)	
8	Nikola's Third Amended Complaint fails to state a claim for an exceptional case under 35	
9	U.S.C. § 285.	
10	Fifth Affirmative Defense	
11	(Unclean Hands)	
12	Nikola's Third Amended Complaint is barred in whole or in part by the doctrine of unclean	
13	hands.	
14	Sixth Affirmative Defense	
15	(Inequitable Conduct)	
16	One or more of the Patents-in-Suit are unenforceable for inequitable conduct as a result of	:
17	the conduct of one or more of the named inventors of the Patents-in-Suit. The factual basis for the	;
18	allegations in this paragraph is described below in Tesla's counterclaims, which are incorporated	
19	herein by reference.	
20	Seventh Affirmative Defense	
21	(Invalidity of Trade Dress)	
22	Nikola's claimed trade dress is invalid because there has been no use in commerce, and it	
23	lacks inherent distinctiveness, lacks secondary meaning, and is functional.	
24	Eighth Affirmative Defense	
25	(Additional Defenses)	
26	Tesla reserves the right to present any additional defenses or counterclaims that discovery	
27	may reveal.	
28	///	
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		1

1	<u>COUNTERCLAIMS</u>
2	Defendant/Counterclaimant Tesla, Inc. ("Tesla") hereby alleges the following
3	counterclaims against Plaintiff/Counterdefendant Nikola Corporation ("Nikola" or
4	"Counterdefendant").
5	PARTIES
6	1. Defendant/Counterclaimant Tesla, Inc. is a corporation organized under the laws
7	of the State of Delaware with a principal place of business at 3500 Deer Creek Road, Palo Alto
8	California.
9	2. On information and belief, and based on Counterdefendant's allegations,
10	Plaintiff/Counterdefendant Nikola Corporation is a corporation organized under the laws of the
11	State of Delaware with a principal place of business at 4141 E Broadway Road, Phoenix Arizona.
12	JURISDICTION AND VENUE
13	3. Tesla seeks a declaratory judgment pursuant to 28 U.S.C. §§ 2201 2202.
14	4. The Court has jurisdiction over these counterclaims pursuant to 28 U.S.C. §§
15	1331, 1338(a), 2201, 2202, and 35 U.S.C. § 100, et seq.
16	5. Venue is proper under 28 U.S.C. § 1391, and by Counterdefendant's choice of
17	forum.
18	6. Counterdefendant has filed in this Court an action to enforce United States Patent
19	Nos. D811,944 (the "'D944 Patent"), D811,968 (the "'D968 Patent"), D816,004 (the "'D004
20	Patent"), and 10,077,084 (the "'084 Patent") (collectively, the "Patents-in-Suit"), and Nikola's
21	Nikola One trade dress (the "Nikola trade dress") against Tesla.
22	7. Nikola alleges that it owns the 'D944 Patent, the 'D968 Patent, the 'D004 Patent,
23	and the '084 Patent
24	8. Tesla has denied that it has infringed, or continues to infringe, any valid and
25	enforceable claim of the Patents-in-Suit.
26	9. Tesla has further asserted that the Patents-in-Suit are invalid for failure to satisfy
27	one or more of the provisions of Title 35 of the United States Code, including 35 U.S.C. §§ 101,
28	
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102, 103, 112, and the defenses recognized in 35 U.S.C. § 282(b), and because the design patents
 encompass functional features.

3

10. Nikola alleges that it owns the purported Nikola trade dress.

- 4 11. Tesla has denied that it infringes or has infringed, any protectable Nikola trade
 5 dress.
- 6 12. Tesla has further asserted that the Nikola trade dress is invalid because it lacks
 7 inherent distinctiveness, lacks secondary meaning, and is functional.
- 8 13. In view of the foregoing, a conflict of asserted rights has arisen between the parties
 9 with respect to the noninfringement and invalidity of the relevant claims of the Patents-in- Suit,
 10 and the noninfringement and invalidity of the Nikola trade dress. An actual controversy therefore
 11 exists between Counterdefendant and Tesla.
- 12
 INEQUITABLE CONDUCT DURING PROSECUTION OF THE 'D944 PATENT, THE

 13
 'D968 PATENT, AND THE 'D004 PATENT
- 14 14. Adriano Mudri is the designer of the Road Runner concept truck. The Road Runner
 15 truck is a hydrogen-powered concept truck. The Road Runner concept truck was entered into the
 2010 Michelin Design Challenge, and was selected for display at the 2010 North American
 17 International Auto Show. Several images of the Road Runner concept truck are reproduced below:



15. On information and belief, Trevor Milton, the founder of Nikola and an inventor on 10 the 'D944 Patent, the 'D968 Patent, and the 'D004 Patent (the "Design Patents"), met with Adriano Mudri during 2014 and/or 2015.

12 16. The 'D944 Patent issued on March 6, 2018 from U.S. Application Serial No. 13 29/550,177 (the "177 Application"), which was filed on December 30, 2015. The named inventors 14 on the face of the 'D944 Patent are Trevor R. Milton and Steve Jennes.

15 17. The 'D968 Patent issued on March 6, 2018 from U.S. Application Serial No. 16 29/550,181 (the "181 Application"), which was filed on December 30, 2015. The named inventors 17 on the face of the 'D968 Patent are Trevor R. Milton and Steve Jennes.

18 18. The 'D004 Patent issued on April 24, 2018 from U.S. Application Serial No. 19 29/550,180 (the "180 Application"), which was filed on December 30, 2015. The named inventors 20on the face of the 'D004 Patent are Trevor R. Milton and Steve Jennes.

21 19. The named inventors of the Design Patents and their attorneys had a duty of candor 22 to the United States Patent and Trademark Office ("USPTO").

23 20. Each of the named inventors of the Design Patents signed a declaration indicating 24 that he reviewed and understood the contents of the specification and claims, and was a joint 25 inventor of the subject matter claimed.

26 21. On information and belief, Trevor Milton was aware of the Road Runner concept 27 truck before the Design Patent Applications were filed.

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1 22. On information and belief, Trevor Milton failed to provide material information to
 2 the USPTO relating to the inventiveness and inventorship of the claimed inventions.

3 23. The designs claimed in the Design Patents are similar to the Road Runner concept
4 truck design.

5 24. The Road Runner concept truck that Trevor Milton intentionally concealed from
6 and did not identify to the USPTO was material to the issuance of the Design Patents.

7 25. But for the failure of Trevor Milton to identify the Road Runner concept truck to
8 the USPTO, the Design Patents would not have issued.

9 26. Adriano Mudri's Road Runner concept truck design constituted a significant
10 inventive contribution to the inventions claimed in the Design Patents. Adriano Mudri was not
11 identified as an inventor during prosecution of the applications that led to the Design Patents.

12 27. On information and belief, Trevor Milton failed to identify Adriano Mudri as a co-13 inventor of the Patents-in-Suit with deceptive intent.

14 28. But for the failure of Trevor Milton to identify Adriano Mudri as a co-inventor of15 the Design Patents, the Design Patents would not have issued.

*16*29. On information and belief, Trevor Milton chose not to disclose the Road Runner*17concept truck to the USPTO with deceptive intent.*

18 30. The Design Patents were procured through inequitable conduct before the USPTO19 and are therefore unenforceable.

 20
 FIRST COUNTERCLAIM – DECLARATION OF NONINFRINGEMENT

 21
 OF THE 'D944 PATENT

31. Tesla realleges Paragraphs 1-13 as if set forth fully herein.

23 32. Tesla does not infringe and has not infringed, any valid and enforceable claim of
24 the 'D944 Patent.

33. Tesla is entitled to a declaratory judgment that the Tesla does not infringe, and has
not infringed, any valid and enforceable claim of the 'D944 Patent.

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SECOND COUNTERCLAIM – DECLARATION OF PATENT INVALIDITY OF THE 'D944 PATENT

34. Tesla realleges Paragraphs 1-13 as if set forth fully herein.

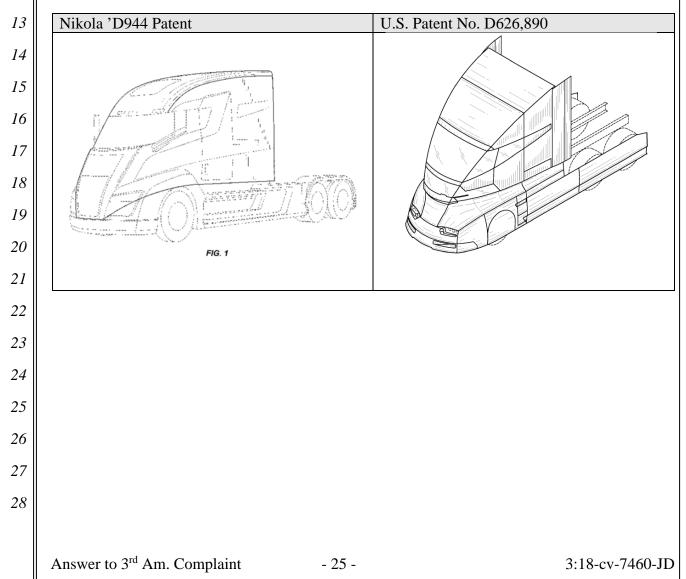
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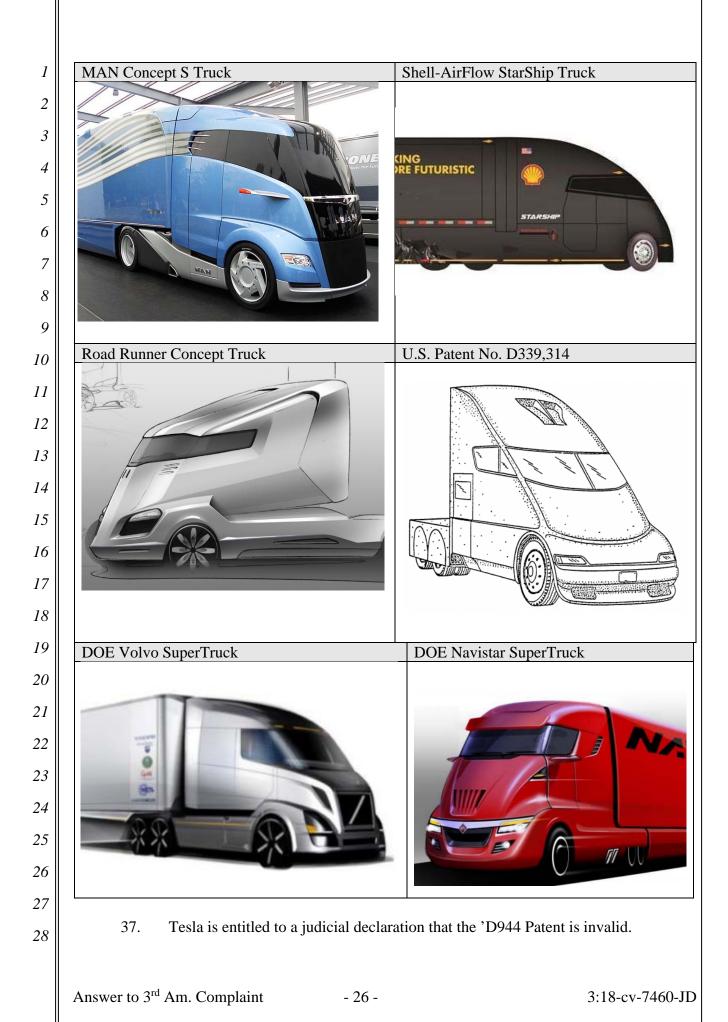
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35. The 'D944 Patent is invalid for failure to satisfy one or more of the provisions set
forth in 35 U.S.C. §§ 101 et seq., including, without limitation, the requirements of 35 U.S.C. §§
101, 102, 103, 112 and/or in view of the defenses recognized in 35 U.S.C. § 282(b), and/or one
or more of the judicially created requirements for patentability.

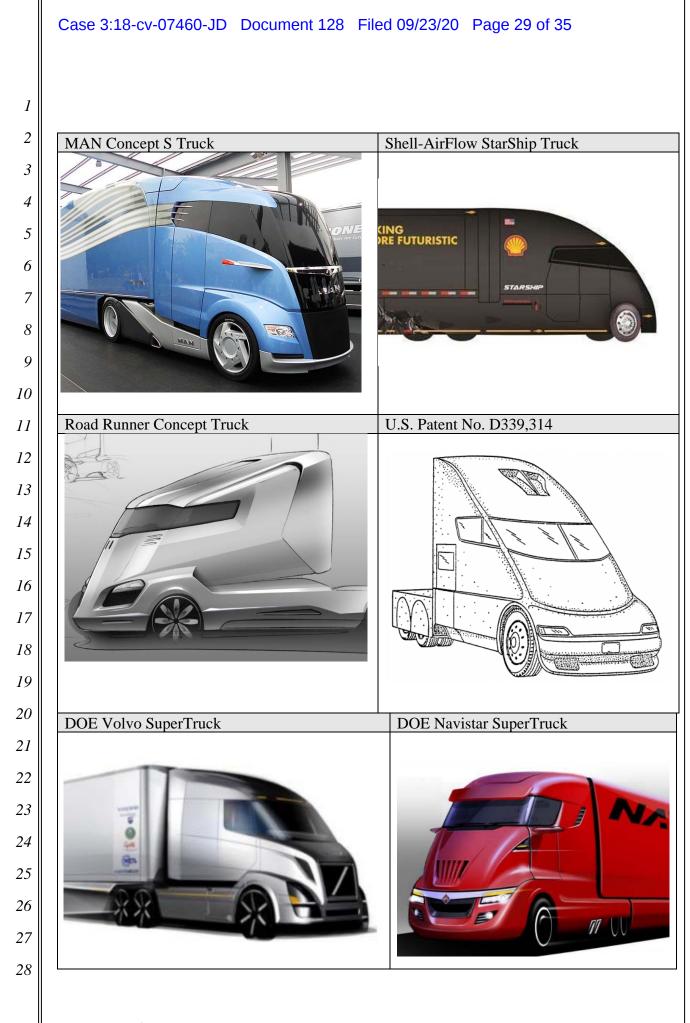
8 36. For example, the 'D944 Patent is invalid under 35 U.S.C. § 102 and/or § 103 in
9 view of, at least, one or more of: U.S. Patent No. D626,890; the MAN Concept S Truck; the Shell10 AirFlow StarShip Truck; the Road Runner Concept Truck; U.S. Patent No. D339,314; the DOE
11 Volvo SuperTruck; and the DOE Navistar SuperTruck. The 'D944 Patent is also invalid because
12 it encompasses functional features.



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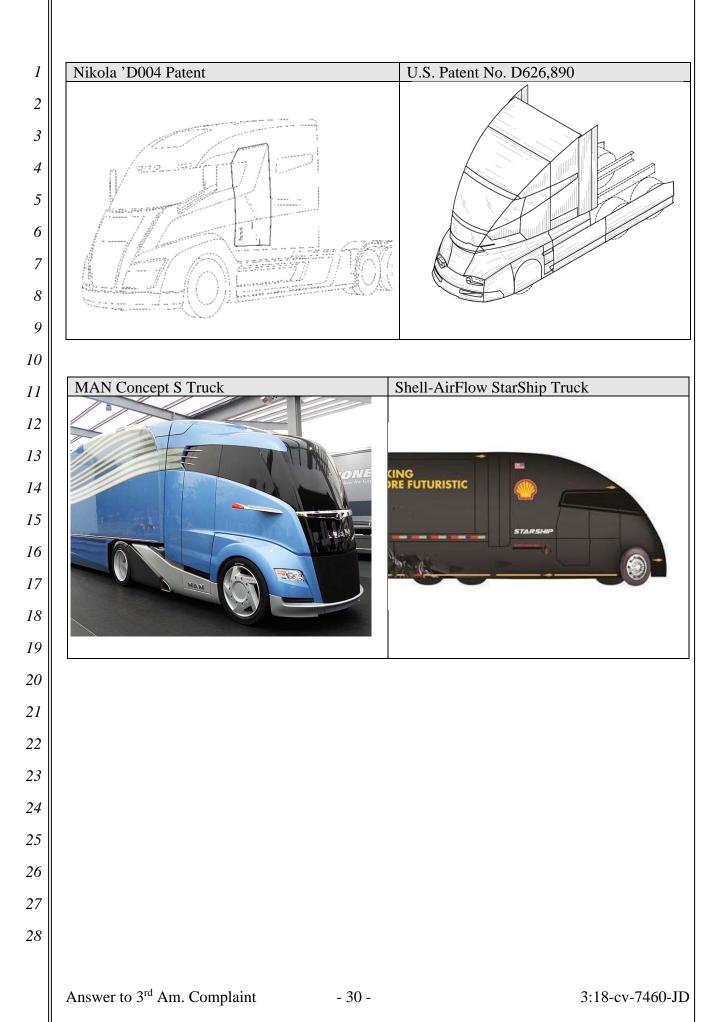
	Case 3:18-cv-07460-JD Document 128 Filed 09/23/20 Page 28 of 35		
1	THIRD COUNTERCLAIM – DECLARATION OF NONINFRINGEMENT		
2	OF THE 'D968 PATENT		
3	 38. Tesla realleges Paragraphs 1-13 as if set forth fully herein. 30. Tesla descent infrince and becaut infrince descent descent infrince descent infrince descent infrince descent infrince descent descent infrince descent descent		
4 5	39. Tesla does not infringe and has not infringed any valid and enforceable claim of the 'D968 Patent.		
6	40. Tesla is entitled to a declaratory judgment that the Tesla does not infringe, and has		
7	not infringed, any valid and enforceable claim of the 'D968 Patent.		
8	FOURTH COUNTERCLAIM – DECLARATION OF PATENT INVALIDITY		
9	OF THE 'D968 PATENT		
10	41. Tesla realleges Paragraphs 1-13 as if set forth fully herein.		
11	42. The 'D968 Patent is invalid for failure to satisfy one or more of the provisions set		
12	forth in 35 U.S.C. §§ 101 et seq., including, without limitation, the requirements of 35 U.S.C. §§		
13	101, 102, 103, 112 and/or in view of the defenses recognized in 35 U.S.C. § 282(b), and/or one		
14	or more of the judicially created requirements for patentability.		
15	43. For example, the 'D968 Patent is invalid under 35 U.S.C. § 102 and/or § 103 in		
16	view of, at least, one or more of: U.S. Patent No. D626,890; the MAN Concept S Truck; the		
17	Shell-AirFlow StarShip Truck; the Road Runner Concept Truck; U.S. Patent No. D339,314; the		
18	DOE Volvo SuperTruck; and the DOE Navistar SuperTruck. The 'D968 Patent is also invalid		
19	because it encompasses functional features.		
20	Nikola 'D968 PatentU.S. Patent No. D626,890		
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26	A second of the		
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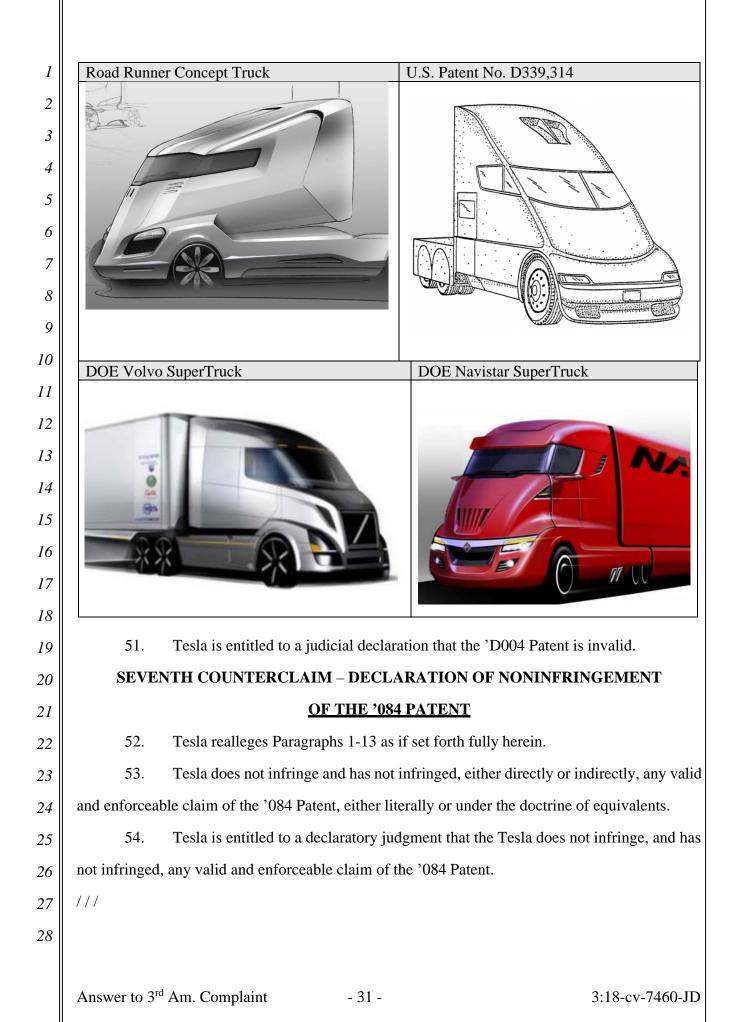
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1	44.	Tesla is entitled to	a judicial declaration that the 'D968 Patent is invalid.	
2	FIF	FH COUNTERCL A	AIM – DECLARATION OF NONINFRINGEMENT	
3			OF THE 'D004 PATENT	
4	45.	Tesla realleges Pa	ragraphs 1-13 as if set forth fully herein.	
5	46.	Tesla does not infi	ringe and has not infringed, any valid and enforceable claim of	
6	the 'D004 Pa	tent.		
7	47.	Tesla is entitled to	a declaratory judgment that the Tesla does not infringe, and ha	ıs
8	not infringed	, any valid and enfor	rceable claim of the 'D004 Patent.	
9	SIX	FH COUNTERCLA	AIM – DECLARATION OF PATENT INVALIDITY	
10			OF THE 'D004 PATENT	
11	48.	Tesla realleges Pa	ragraphs 1-13 as if set forth fully herein.	
12	49.	The 'D004 Patent	is invalid for failure to satisfy one or more of the provisions set	t
13	forth in 35 U	.S.C. §§ 101 et seq.,	including, without limitation, the requirements of 35 U.S.C. §§	}
14	101, 102, 103	3, 112 and/or in view	v of the defenses recognized in 35 U.S.C. § 282(b), and/or one	
15	or more of th	e judicially created i	requirements for patentability.	
16	50.	For example, the '	D004 Patent is invalid under 35 U.S.C. § 102 and/or § 103 in	
17	view of, at le	ast, one or more of:	U.S. Patent No. D626,890; the MAN Concept S Truck; the	
18	Shell-AirFlov	w StarShip Truck; th	ne Road Runner Concept Truck; U.S. Patent No. D339,314; the	
19	DOE Volvo	SuperTruck; and the	DOE Navistar SuperTruck. The 'D004 Patent is also invalid	
20	because it en	compasses functiona	al features.	
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1	EIGHTH COUNTERCLAIM – DECLARATION OF PATENT INVALIDITY				
2	OF THE '084 PATENT				
3	55. Tesla realleges Paragraphs 1-13 as if set forth fully herein.				
4	56. One or more claims of the '084 Patent are invalid for failure to satisfy one or mo	re			
5	of the provisions set forth in 35 U.S.C. §§ 101 et seq., including, without limitation, t	he			
6	requirements of 35 U.S.C. §§ 101, 102, 103, 112 and/or in view of the defenses recognized in	35			
7	U.S.C. § 282(b), and/or one or more of the judicially created requirements for patentability.				
8	57. For example, the claims of the '084 patent are invalid under 35 U.S.C. § 102 and/	or			
9	§ 103 in view of, at least, the Navistar eStar truck; PCT Application Publication No. W	O			
10	2009/001086; U.S. Patent No. 7,338,335; U.S. Patent No. 4,932,716; PCT Application Publication	on			
11	No. WO 81/01587; U.S. Patent Application Publication No. 2003/0006628; U.S. Patent N	o.			
12	7,145,788 to Plummer; the 2013 Kia Sedona User Manual; and "Ergonomics Program	at			
13	Freightliner." SAE Transactions, vol. 109, 2000, pp. 462–469.				
14	58. Tesla is entitled to a judicial declaration that one or more claims of the 'D004 Pate	nt			
15	are invalid.				
16	NINTH COUNTERCLAIM – DECLARATORY JUDGMENT OF				
17	NO TRADE DRESS INFRINGEMENT				
18	59. Tesla realleges Paragraphs 1-13 as if set forth fully herein.				
19	60. Tesla does not infringe any protectable trade dress of the Nikola One.				
20	61. Tesla is entitled to a judicial determination that Tesla has not infringed Nikola's				
21	trade dress rights in the Nikola One design.				
22	TENTH COUNTERCLAIM – DECLARATORY JUDGMENT OF				
23	NO TRADE DRESS RIGHTS				
24	62. Tesla realleges Paragraphs 1-13 as if set forth fully herein.				
25	63. Nikola's purported Nikola One trade dress is not inherently distinctive, h	as			
26	acquired no secondary meaning, and is functional.				
27	64. Tesla is entitled to a judicial determination that Nikola has no rights in its asserted	d			
28	Nikola One trade dress.				
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1 2

THIRD COUNTERCLAIM – DECLARATION OF PATENT UNENFORCEABILITY

65. Tesla realleges Paragraphs 1-30 as if set forth fully herein.

66. Trevor Milton failed to disclose to the Patent and Trademark Office (PTO) material *information regarding the Design Patents and did so with an intent to deceive as discussed above. 67.* As a result of the actions of Trevor Milton, each of the Design Patents is

6 unenforceable for inequitable conduct and/or unclean hands.

68. Tesla is entitled to a judicial declaration that the Design Patents are unenforceable.

PRAYER FOR RELIEF

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WHEREFORE, Tesla requests the following relief:

A. That the Court order the Third Amended Complaint dismissed with prejudice and
 judgment be entered in favor of Tesla;

B. That a judgment be entered declaring that Tesla has not infringed and does not
infringe either directly or indirectly, any valid and enforceable claim of U.S. Patent Nos.
D811,944, D811,968, D816,004, and 10,077,084, either literally or under the doctrine of
equivalents;

C. That judgment be entered declaring the claims of U.S. Patent Nos. D811,944,
D811,968, D816,004, and 10,077,084 invalid;

D. That judgment be entered declaring U.S. Patent Nos. D811,944, D811,968,
 D816,004, and 10,077,084 unenforceable;

E. That a judgment be entered declaring that Nikola's alleged trade dress has not been
infringed and is not infringed by Tesla;

22

F. That a judgment be entered declaring that Nikola's alleged trade dress is invalid;

G. That a judgment be entered declaring that this action is an exceptional case within
the meaning of 35 U.S.C. § 285, and that Tesla is therefore entitled to recover its reasonable
attorneys' fees;

- H. That Tesla be awarded costs, attorneys' fees, and other relief, both legal and
 equitable, to which it may be justly entitled; and
- 28

That Tesla be awarded such other and further relief as is just and proper.

I.

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1	DEMAND FOR JURY TRIAL	
2	Pursuant to Federal Rule of Civil Procedure 38(b), Defendant Tesla, Inc. hereby demands	
3	trial by jury of all issues that a	re triable by jury.
4		
5		Respectfully submitted,
6		KNOBBE, MARTENS, OLSON & BEAR, LLP
7	Date: September 23, 2020	By: <u>/s/ Michael K. Friedland</u>
8		Michael K. Friedland Lauren Keller Katzenellenbogen
9		Adam B. Powell Kimberly A. Kennedy
10 11		Attorneys for Defendant
12		TESLA, INC.
12	33566135	
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